

1/6-30-04

Docket No. 559852000102

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail, Label No. EV479817655US, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.Q. Box 1450, Alexandria, VA 22313-1450, on June 28, 2004.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

John Stanley David STANIER

Serial No.:

10/697,667

Filing Date: October 29, 2003

For:

INFLATABLE HUMANOID FORMS

Examiner: Bena B. Miller

Group Art Unit: 3712

SUPPLEMENTAL INFORMATION DISCLOSURE **STATEMENT UNDER 37 C.F.R. § 1.97 & 1.98**

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97 and § 1.98, Applicant submits for consideration in the above-identified application the document listed on the attached Form PTO-1449. Copies of the document are also submitted herewith. The Examiner is requested to make this document of record.

We have been advised that the document listed on the attached Form PTO-1449 comprises images from an Australian movie entitled "Around the World in 80 Ways," which was released in 1987. We have not been able to confirm this date.

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We have also been advised that the premise of the movie is as follows: "The son of an elderly man, who is partially sighted, hatches a scheme to give his father the experience of a trip from Sydney, Australia to Hawaii, Las Vegas, Rome and Tokyo. . . . To implement this scheme, the son and two accomplices recreate (in one or more local venues) (i) a poolside environment in Hawaii; (ii) a Las Vegas casino and nightclub and (iii) the Vatican (among other places, such as the airport, hotel, etc.)." We have not been able to confirm this premise.

We have also been advised that "[i]n each of the recreated scenes, life-sized, inflatable humanoids are used to give the appearance of other people being present to the partially sighted elderly man." We have not been able to confirm that inflatable humanoids were used.

Nevertheless, the Applicants respectfully submit that the images do not affect the patentability of the present invention. The submitted images are no different than the images from the movie entitled "Legends of the Fall," which were submitted in the previous IDS, considered by the Examiner, and determined to be not relevant.

All of the submitted images from "Around the World in 80 Ways" and "Legends of the Fall" show humanoid figures as central characters in the scenes in which they are used, not as part of the background as in embodiments of the present invention (see claims 21, 37, 41 and 44). The humanoid figures in the two movies are limited to not more than a few strategically placed figures, and do not simulate a crowd as in embodiments of the present invention (see claims 21, 37, 41 and 44). In addition, the humanoid figures in the two movies are not placed in close proximity to one another to simulate a crowd, as in embodiments of the present invention (see claims 41 and 44). Furthermore, because the humanoid figures in the two movies were central characters in the scenes in which they are used, they are in sharp focus, and were not visually recorded in a manner that did not capture a clear image of the figures as in embodiments of the present invention (see claims 41 and 44). Moreover, because the humanoid figures in the two movies were prominently displayed and were filmed in sharp focus, the figures were

SN 10/697,667 Docket No. 5598520001.02 <u>realistic and had all of their limbs</u>, and were not merely <u>portions of humanoids</u> as in embodiments of the present invention (see claims 41 and 44).

	This Su	pplemental Information Disclosure Statement is submitted:					
	With the application; accordingly, no fee or separate requirements are required.						
	Befor	e the mailing of a first Office Action after the filing of a Request for Continued					
	Exam	ination under § 1.114. However, if applicable, a certification under 37 C.F.R. §					
	1.97 (e)(1) has been provided.					
	Withi	n three months of the application filing date or before mailing of a first Office					
	Actio	n on the merits; accordingly, no fee or separate requirements are required.					
	Howe	ever, if applicable, a certification under 37 C.F.R. § 1.97 (e)(1) has been provided.					
\boxtimes .	After	receipt of a first Office Action on the merits but before mailing of a final Office					
	Actio	n or Notice of Allowance.					
		A fee is required. A check in the amount of is enclosed.					
		A fee is required. Accordingly, a Fee Transmittal form (PTO/SB/17) is attached					
		to this submission in duplicate.					
		A Certification under 37 C.F.R. § 1.97(e) is provided above; accordingly; no fee					
	•	is believed to be due.					
	After	mailing of a final Office Action or Notice of Allowance, but before payment of the					
	issue	fee.					
		A Certification under 37 C.F.R. § 1.97(e) is provided above and a check in the					
		amount of is enclosed.					
		A Certification under 37 C.F.R. § 1.97(e) is provided above and a Fee Transmittation					
		form (PTO/SB/17 is attached to this submission in duplicate.)					

Applicant would appreciate the Examiner initialing and returning the Form PTO-1449, indicating that the information has been considered and made of record herein.

The information contained in this Supplemental Information Disclosure Statement under 37 C.F.R. § 1.97 and § 1.98 is not to be construed as a representation that: (i) a complete search has been made; (ii) additional information material to the examination of this application does

not exist; (iii) the information, protocols, results and the like reported by third parties are accurate or enabling; or (iv) the above information constitutes prior art to the subject invention.

In the unlikely event that the transmittal form is separated from this document and the Patent Office determines that an extension and/or other relief (such as payment of a fee under 37 C.F.R. § 1.17 (p)) is required, Applicant petitions for any required relief including extensions of time and authorize the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to **Deposit Account No. 03-1952** referencing 559852000102. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

Dated: June 28, 2004

Respectfully submitted,

Glenn M. Kubota

Registration No.: 44,197

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Los Angeles, California 90013 (213) 892-5752

Attorneys for Applicant(s)

PTO/SB/08 (2-92)

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Form PTO-1449

INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(Use several sheets if necessary)

	SHCCL I OF I
Docket Number 559852000102	Application Number 10/697,667
Applicant	
John Star	aley David STANIER
Filing Date October 29, 2003	Group Art Unit 3712

Mailing Date June 28, 2004

Express Mail - EV479817655US

U.S. PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Name	Class	Subclass	Filing Date If Appropriate

FOREIGN PATENT DOCUMENTS

Examiner Initials	Ref. No.	Date	Document No.	Country	Class	Subclass	Trans YES	lation NO
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OTHER DOCUMENTS

(including author, title, Date, Pertinent Pages, Etc.)

Examiner Initials	Ref. No.	Title
	1.	19 pages of color images from an Australian movie entitled "Around the World in 80 Ways," released 1987 (unconfirmed)

EXAMINER:

DATE CONSIDERED:

EXAMINER: Initial if citation considered, whether or not the citation conforms with MPEP 609. Draw a line through the citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.

PTO/SB/21 (08-03)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

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	Application Number	10/697,667				
	Filing Date	October 29, 2003				
	First Named Inventor	John D. S. STANIER				
	Art Unit	3712				
	Examiner Name	Bena B. Miller				
	Attorney Docket Number	559852000102				

ENCLOSURES (Check all that apply)							
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Fee Attache	d	Licensin	g-related Papers	Appeal Communication to Boa	ard of		
. Amendment/Reply	· /	Petition		Appeal Communication to Gro (Appeal Notice, Brief, Reply Brie			
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Extension of Time	Request	Terminal	Disclaimer	X Other Enclosure(s) (please identify below):			
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Date June	28, 2004						

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22313-1450, on the date shown below.	1
Dated: June 28, 2004	Signature: (Marco Jimenez)

PTO/SB/17 (10-0:

Approved for use through 7/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Effective 10/01/2003, Patent fees are subject to annual revision.

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Applicant claims small entity status. See 37 CFR 1.27

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 Complete if Known

 Application Number
 10/697,667

 Filing Date
 October 29, 2003

 First Named Inventor
 John D. S. STANIER

 Examiner Name
 Bena B. Miller

 Art Unit
 3712

 Attorney Docket No.
 559852000102

(Complete (if applicable))

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June 28, 2004

Telephone

Date

METHOD OF PAYMENT (check all that apply) FEE CALCULATION (continued) Credit None 3. ADDITIONAL FEES Check Other Orde X Deposit Account: Large Entity Small Entity Deposit Account 03-1952 Fee Fee Fee Description Number Code (\$) Code (\$) Fee Paid Deposit 1051 130 2051 65 Surcharge - late filing fee or oath Account Morrison & Foerster LLP Name Surcharge - tate provisional filing fee or cover 1052 50 2052 25 The Director is authorized to: (check all that apply) X Credit any overpayments X Charge fee(s) indicated below 1053 130 1053 130 Non-English specification 1812 Charge any additional fee(s) required under 37 CFR 1.16 and 1.17 1812 2.520 X 2,520 For filing a request for ex parte reexamination Requesting publication of SIR prior to 1804 920* 1804 Charge fee(s) indicated below, except for the filing fee Examiner action Requesting publication of SIR after to the above-identified deposit account. 1805 1.840 1805 1.840* Examiner action **FEE CALCULATION** 1251 110 2251 Extension for reply within first month 1. BASIC FILING FEE 1252 420 2252 210 Extension for reply within second month Large Entity Small Entity 1253 950 2253 Extension for reply within third month 475 Fee Fee Fee Fee Description Fee Paid 1254 1,480 2254 Extension for reply within fourth month Code (\$) Code (\$) 2001 Utility filing fee 1001 770 385 1255 2.010 2255 1,005 Extension for reply within fifth month 1002 340 2002 170 Design filing fee 1401 330 2401 165 Notice of Appeal 2003 265 Plant filing fee 1003 530 1402 330 2402 165 Filing a brief in support of an appeal 2004 385 1004 770 Reissue filing fee 1403 290 2403 145 Request for oral hearing 2005 1005 160 80 Provisional filing fee 1451 1.510 1451 1,510 Petition to institute a public use proceeding 1452 110 2452 55 Petition to revive - unavoidable SUBTOTAL (1) (\$) 0.00 1453 1.330 2453 665 Petition to revive - unintentional 1501 1.330 2501 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE 665 Utility issue fee (or reissue) Extra 1502 480 2502 240 Design issue fee Fee Paid Claims below **Total Claims** 1503 640 2503 Plant issue fee Independent 1460 130 1460 130 Petitions to the Commissioner Claims 1807 50 1807 50 Processing fee under 37 CFR 1.17(a) Multiple Dependent 1806 180 1806 180.00 180 Large Entity Small Entity Submission of Information Disclosure Stmt Recording each patent assignment per Fee Fee Description 8021 40 8021 40 Code (\$) Code (\$) property (times number of properties) Filing a submission after final rejection 1202 18 2202 9 Claims in excess of 20 1809 770 2809 385 (37 ČFR 1.129(a)) 1201 86 2201 43 Independent claims in excess of 3 For each additional invention to be 1810 770 2810 385 1203 290 2203 Multiple dependent claim, if not paid examined (37CFR 1.129(b)) 1204 86 2204 43 ** Reissue independent claims 1801 770 2801 Request for Continued Examination (RCE) over original patent Request for expedited examination 1802 900 1802 18 Reissue claims in excess of 20 1205 2205 9 of a design application and over original patent Other fee (specify) **Terminal Disclaimer** SUBTOTAL (2) (\$) 0.00 *Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 180,00 **or number previously paid, if greater, For Reissues, see above

Registration No.

(Attorney/Agent)

44,197

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Glenn M. Kubota

SUBMITTED BY

Signature

Name (Print/Type)